

## ETHICS BOARD

### GOVERNOR'S BUDGET RECOMMENDATIONS

Source of Funds	FY03 Adjusted Base	FY04 Recommended	% Change Over FY03	FY05 Recommended	% Change Over FY04
GPR	232,700	237,100	1.9	237,100	0.0
PR-O	376,900	408,500	8.4	408,500	0.0
<b>TOTAL</b>	<b>609,600</b>	<b>645,600</b>	<b>5.9</b>	<b>645,600</b>	<b>0.0</b>

### FULL-TIME EQUIVALENT POSITION SUMMARY

Source of Funds	FY03 Adjusted Base	FY04 Recommended	FTE Change From FY03	FY05 Recommended	FTE Change From FY04
GPR	3.00	2.50	-0.50	2.50	0.00
PR-O	3.50	3.50	0.00	3.50	0.00
<b>TOTAL</b>	<b>6.50</b>	<b>6.00</b>	<b>-0.50</b>	<b>6.00</b>	<b>0.00</b>

### AGENCY DESCRIPTION

The board is headed by a six-member board appointed by the Governor, with the advice and consent of the Senate. The board appoints an executive director to administer the agency. The board advises state officials, lobbyists and their employers, and others on applicable statutory standards of conduct. The board investigates and prosecutes violations of the ethics code and lobbying law; files for public review the financial interest statements of state officials, candidates and nominees for state public office; and compiles and disseminates information about the matters on which businesses and organizations are lobbying and the time and money that businesses and organizations spend to influence state legislation and administrative rules. The board also provides advice to local units of government about the statutory standards of conduct for local officials.

### MISSION

The board's mission is to further Wisconsin's tradition of clean and open government through its administration of Wisconsin's ethics code and lobbying law. The purposes of these laws are to:

- Improve standards of governmental service.
- Strengthen citizens' confidence in the integrity of their state and local governmental officials.
- Help preserve the integrity of the governmental decision-making process.
- Promote a full and fair opportunity for citizens to address governmental officials, either directly or through paid representatives, on the merits of issues.

## PROGRAMS, GOALS, OBJECTIVES AND ACTIVITIES

### Program 1: Ethics and Lobbying Regulation

Goal: Enforce statutory requirements. This goal fosters the continued high standards of ethical conduct by state government officials, lobbyists and lobbying organizations through independent investigation and reassures the public that the State of Wisconsin is equipped to redress conduct that falls short of statutorily established standards.

Objective/Activity: Investigate possible violations of the ethics code and lobbying law and seek appropriate remedies or exoneration as circumstances warrant.

Goal: Identify interests influencing government and identify conflicting interests.

Objective/Activity: Identify for the public the businesses, organizations and individuals attempting to influence governmental decisions, the issues in which they have an interest, and other pertinent information; and to provide information about governmental officials' financial interests to confirm for the public the absence of conflicting interests or to identify conflicts meriting attention. Provide for the regular update and enhancement of an interactive Web site, which permits anyone with Internet access capabilities to search for and view data relating to lobbyists, the organizations that employ them, the issues on which they are lobbying, lobbying activity and expenditures for current and past sessions, and information about key elected and appointed state officials.

## PERFORMANCE MEASURES

### HISTORICAL DATA

Prog. No.	Performance Measure	Actual 2000
1.	Officials' and citizens' confidence in the board.	The board did not survey officials or citizens
1.	Customer satisfaction in the board obtained through survey and focus group sessions.	Comments during seminars and phone conversations are positive towards the board and especially the Web site
1.	Customer usage of Web site (legislators, executive branch officials and Wisconsin citizens). <sup>1</sup>	500,000 hits 47,000 users
1.	News coverage of legislative affairs.	N/A

Note: Based on fiscal year.

<sup>1</sup>Wisconsin legislators use the board's Web site as a tool to aid them in identifying lobbying interests during legislative sessions.

## 2001 AND 2002 GOALS AND ACTUALS

Prog. No.	Performance Measure	Goal 2001	Actual 2001	Goal 2002	Actual 2002
1.	Officials' and citizens' confidence in the board.	Confidence that legislative review does not impede the board's inquiries is high and rising	Goal not met	Confidence that legislative review does not impede the board's inquiries is high and rising	Goal not met <sup>1</sup>
1.	Customer satisfaction in the board obtained through survey and focus group sessions.	Surveys show lobbying organizations and officials are satisfied with reporting mechanisms	Goal met <sup>2</sup>	Surveys show lobbying organizations and officials are satisfied with reporting mechanisms	Goal met <sup>3</sup>
1.	Customer usage of Web site (legislators, executive branch officials and Wisconsin citizens).	Web site usage is increasing	1.7 million hits 92,000 users	Web site usage is increasing	3.4 million hits 133,000 users
1.	News coverage of legislative affairs.	Increase in number and depth of publicity, analysis and commentary on information related to lobbying and financial interests of state officials	Goal met <sup>4</sup>	Increase in number and depth of publicity, analysis, and commentary on information related to lobbying and financial interests of state officials	Goal met <sup>5</sup>

Note: Based on fiscal year.

<sup>1</sup>Adverse publicity about financial limitations on board's ability to investigate potential violations of law.

<sup>2</sup>Comments during seminars and phone conversations are positive towards the board and especially the Web site.

<sup>3</sup>The board surveyed lobbying principals and state officials to gauge their satisfaction with the board's administration of requirements for reports that lobbying organizations and officials file with the board. Satisfaction was high and rising.

<sup>4</sup>The board's Web site received the Innovations in American Government Award from Harvard University and the Ford Foundation.

<sup>5</sup>The board's Web site received the Public Integrity Award sponsored by the American Society for Public Administration.

## 2003, 2004 AND 2005 GOALS

Prog. No.	Performance Measure	Goal 2003	Goal 2004	Goal 2005
1.	Officials' and citizens' confidence in the board.	Confidence that legislative review does not impede the board's inquiries is high and rising	Confidence that legislative review does not impede the board's inquiries is high and rising	Confidence that legislative review does not impede the board's inquiries is high and rising
1.	Customer satisfaction in the board obtained through surveys and focus group sessions.	Surveys show lobbying organizations and officials are satisfied with reporting mechanisms	Surveys show lobbying organizations and officials are satisfied with reporting mechanisms	Surveys show lobbying organizations and officials are satisfied with reporting mechanisms
1.	Customer usage of Web site (legislators, executive branch officials and Wisconsin citizens).	Web site usage is increasing	Web site usage is increasing	Web site usage is increasing
1.	News coverage of legislative affairs.	Increase in number and depth of publicity, analysis and commentary on information related to lobbying and financial interests of state officials	Increase in number and depth of publicity, analysis and commentary on information related to lobbying and financial interests of state officials	Increase in number and depth of publicity, analysis and commentary on information related to lobbying and financial interests of state officials

Note: Based on fiscal year.

## **ETHICS BOARD**

### **GOVERNOR'S BUDGET RECOMMENDATIONS**

#### **RECOMMENDATIONS**

1. Budget Efficiency Measures
2. Lobbying Subscription Report Service
3. Standard Budget Adjustments

**Table 1**  
**Department Budget Summary by Funding Source (in thousands of dollars)**

	ACTUAL FY02	ADJUSTED BASE FY03	AGENCY REQUEST		GOVERNOR'S RECOMMENDATION	
			FY04	FY05	FY04	FY05
GENERAL PURPOSE REVENUE	\$264.3	\$232.7	\$247.2	\$247.2	\$237.1	\$237.1
State Operations	264.3	232.7	247.2	247.2	237.1	237.1
PROGRAM REVENUE (2)	375.3	376.9	408.3	408.3	408.5	408.5
State Operations	375.3	376.9	408.3	408.3	408.5	408.5
TOTALS-ANNUAL	639.6	609.6	655.5	655.5	645.6	645.6
State Operations	639.6	609.6	655.5	655.5	645.6	645.6

(2) Includes Program Revenue-Service and Program Revenue-Other

**Table 2**  
**Department Position Summary by Funding Source (in FTE positions) (4)**

	ADJUSTED BASE FY03	AGENCY REQUEST		GOVERNOR'S RECOMMENDATION	
		FY04	FY05	FY04	FY05
GENERAL PURPOSE REVENUE	3.00	3.00	3.00	2.50	2.50
PROGRAM REVENUE (2)	3.50	3.50	3.50	3.50	3.50
TOTALS-ANNUAL	6.50	6.50	6.50	6.00	6.00

(2) Includes Program Revenue-Service and Program Revenue-Other

(4) All positions are State Operations unless otherwise specified

**Table 3**  
**Department Budget Summary by Program (in thousands of dollars)**

	ACTUAL FY02	ADJUSTED BASE FY03	AGENCY REQUEST		GOVERNOR'S RECOMMENDATION	
			FY04	FY05	FY04	FY05
1. Ethics and lobbying regulation	\$639.6	\$609.6	\$655.5	\$655.5	\$645.6	\$645.6
TOTALS	639.6	609.6	655.5	655.5	645.6	645.6

**Table 4**  
**Department Position Summary by Program (in FTE positions) (4)**

	ADJUSTED BASE FY03	AGENCY REQUEST		GOVERNOR'S RECOMMENDATION	
		FY04	FY05	FY04	FY05
1. Ethics and lobbying regulation	6.50	6.50	6.50	6.00	6.00
TOTALS	6.50	6.50	6.50	6.00	6.00

(4) All positions are State Operations unless otherwise specified

**1. Budget Efficiency Measures**

Source of Funds	Agency Request				Governor's Recommendation			
	FY04		FY05		FY04		FY05	
	Dollars	Positions	Dollars	Positions	Dollars	Positions	Dollars	Positions
GPR	0	0.00	0	0.00	-14,000	-0.50	-14,000	-0.50
TOTAL	0	0.00	0	0.00	-14,000	-0.50	-14,000	-0.50

The Governor recommends reducing expenditure and position authority in the board's state operations appropriations in the amounts shown to create additional operational efficiencies and balance the budget.

**2. Lobbying Subscription Report Service**

Source of Funds	Agency Request				Governor's Recommendation			
	FY04		FY05		FY04		FY05	
	Dollars	Positions	Dollars	Positions	Dollars	Positions	Dollars	Positions
PR-O	10,000	0.00	10,000	0.00	10,000	0.00	10,000	0.00
TOTAL	10,000	0.00	10,000	0.00	10,000	0.00	10,000	0.00

The Governor recommends increasing expenditure authority to maintain the board's lobbying report service which provides subscribers with daily or weekly updates regarding current lobbying activities.

**3. Standard Budget Adjustments**

Source of Funds	Agency Request				Governor's Recommendation			
	FY04		FY05		FY04		FY05	
	Dollars	Positions	Dollars	Positions	Dollars	Positions	Dollars	Positions
GPR	14,500	0.00	14,500	0.00	18,400	0.00	18,400	0.00
PR-O	21,400	0.00	21,400	0.00	21,600	0.00	21,600	0.00
TOTAL	35,900	0.00	35,900	0.00	40,000	0.00	40,000	0.00

The Governor recommends adjusting the board's base budget for: (a) full funding of continuing position salaries and fringe benefits (\$40,000 in each year).